

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.

For Official Use Only



**READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.**

1. File Number U - <u>10 017</u>	2. Fiscal Year Covered From: <u>1</u> / <u>1</u> / <u>2004</u> Through: <u>12</u> / <u>31</u> / <u>2004</u>
3. Name and address of person filing.  Name <u>John</u> <u>H</u> <u>Hammond</u>  P.O. Box, Bldg., Room No., if any  Street <u>3710 Broad St</u>  City <u>San Luis Obispo</u>  State <u>California</u> ZIP Code + 4 <u>93401-7108</u>	4. Name, file number, and address of labor organization.  Name <u>Plumbers &amp; Pipefitters Local 409</u>  Labor Organization File Number <u><del>012-440</del> 012400</u>  P.O. Box, Building and Room Number, if any  Street <u>3710 Broad St</u>  City <u>San Luis Obispo</u>  State <u>California</u> ZIP Code + 4 <u>93401-7108</u>
5. Position in labor organization. <u>Business Manager</u>	

**Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):**

<b>A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.</b>					
6. Name and address of Employer (including trade name, if any).			7.a. Nature of Interest, Transaction, or Income.		
Name	Bechtel		Dinner/July 5th or 6th 2004		
Trade Name, if any:	Construction Company				
P.O. Box, Bldg., Room No., if any	PO Box 193965				
Street	50 Beale Street		7.b. Amount.		
City	San Francisco		\$40		
State	California	ZIP Code + 4	94119-3965		

**Signature**

**15. Signature and verification.** The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed

John C. ...

On

08/12/2004

Date \_\_\_\_\_

805-543-2416

Telephone Number

Name of Person Filing John Hammond	File Number U-
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B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name P. I. P. E.</p> <p>Trade Name, if any:</p> <p>P.O. Box, Bldg., Room No., if any</p> <p>Street 501 Shatto Place Suite 200</p> <p>City Los Angeles</p> <p>State California ZIP Code + 4 90020</p>	<p>9. Business deals with:</p> <p><input checked="" type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name</p> <p>Trade Name, if any:</p> <p>P.O. Box, Bldg., Room No., if any</p> <p>Street</p> <p>City</p> <p>State Other ZIP Code + 4</p>	<p>11.a. Nature of such dealing.</p> <p>Labor Trustee of Joint Labor Management Cooperation Committee</p> <p>11.b. Approximate dollar value of such dealing. \$38</p> <p>12.a. Nature of interest held or income received.</p> <p>Lunch Provided at Trust Meeting</p> <p>12.b. Amount. \$38</p>

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name</p> <p>Trade Name, if any:</p> <p>P.O. Box, Bldg., Room No., if any</p> <p>Street</p> <p>City</p> <p>State ZIP Code + 4</p>	<p>14.a. Nature of payment.</p>
<p>13.b. Is the Business an Employer or Consultant ?</p>	<p>14.b. Amount of payment.</p>

Name of Person Filing John Hammond

File Number U-

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name National Inspection Testing Cert Corp

Trade Name, if any: Certifications

P.O. Box, Bldg., Room No., if any

Street 501 Shatto Place #201

City Los Angeles

State California ZIP Code + 4 90020

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

Provides Training and Certification in Piping Industry

## 11.b. Approximate dollar value of such dealing.

## 12.a. Nature of interest held or income received.

Reimbursement of Expenses at Meeting \$1855.  
Xmas Gift \$99.81

## 12.b. Amount.

\$1,955

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<b>8. Name and address of Business (including trade name, if any).</b>  Name   Piping Industry Progress & Ed. Fund  Trade Name, if any:    P.O. Box, Bldg., Room No., if any    Street   501 Shatto Place #200  City   Los Angeles  State   California   ZIP Code + 4   90020	<b>9. Business deals with:</b>  <input checked="" type="checkbox"/> a. Labor Organization  <input type="checkbox"/> b. Trust  <input type="checkbox"/> c. Employer						
<b>10. If 9.b. or 9.c. is checked give trust or employer's name.</b>  Name    Trade Name, if any:    P.O. Box, Bldg., Room No., if any    Street    City    State     ZIP Code + 4	<b>11.a. Nature of such dealing.</b> Labor Trustee of Joint Labor Management Cooperation Committee						
	<table border="1"><tr><td data-bbox="820 1066 1291 1081"><b>11.b. Approximate dollar value of such dealing.</b></td><td data-bbox="1291 1066 1526 1081"></td></tr><tr><td colspan="2" data-bbox="820 1087 1526 1417"><b>12.a. Nature of interest held or income received.</b> Reimbursement for expenses for Trust Meeting</td></tr><tr><td data-bbox="820 1423 1291 1459"><b>12.b. Amount.</b></td><td data-bbox="1291 1423 1526 1459">\$4,309</td></tr></table>	<b>11.b. Approximate dollar value of such dealing.</b>		<b>12.a. Nature of interest held or income received.</b> Reimbursement for expenses for Trust Meeting		<b>12.b. Amount.</b>	\$4,309
<b>11.b. Approximate dollar value of such dealing.</b>							
<b>12.a. Nature of interest held or income received.</b> Reimbursement for expenses for Trust Meeting							
<b>12.b. Amount.</b>	\$4,309						

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<b>8. Name and address of Business (including trade name, if any).</b>  Name   So. Calif Pipe Trades Administrative Corp    Trade Name, if any:      P.O. Box, Bldg., Room No., if any      Street   501 Shatto Place 5th Floor    City   Los Angeles    State   California   ZIP Code + 4   90020	<b>9. Business deals with:</b>  <input checked="" type="checkbox"/> a. Labor Organization  <input type="checkbox"/> b. Trust  <input type="checkbox"/> c. Employer						
<b>10. If 9.b. or 9.c. is checked give trust or employer's name.</b>  Name      Trade Name, if any:      P.O. Box, Bldg., Room No., if any      Street      City      State     ZIP Code + 4	<b>11.a. Nature of such dealing.</b> Labor Trustee of Joint Labor Management ERISA Trust Funds						
	<table border="1"><tr><td data-bbox="820 1071 1291 1102"><b>11.b. Approximate dollar value of such dealing.</b></td><td data-bbox="1291 1071 1534 1102">\$74</td></tr><tr><td colspan="2" data-bbox="820 1102 1534 1417"><b>12.a. Nature of interest held or income received.</b> Lunch Provided at Trust Meeting 7/21/04 \$45.90 10/20/04 \$28.45</td></tr><tr><td data-bbox="820 1417 1291 1449"><b>12.b. Amount.</b></td><td data-bbox="1291 1417 1534 1449">\$74</td></tr></table>	<b>11.b. Approximate dollar value of such dealing.</b>	\$74	<b>12.a. Nature of interest held or income received.</b> Lunch Provided at Trust Meeting 7/21/04 \$45.90 10/20/04 \$28.45		<b>12.b. Amount.</b>	\$74
<b>11.b. Approximate dollar value of such dealing.</b>	\$74						
<b>12.a. Nature of interest held or income received.</b> Lunch Provided at Trust Meeting 7/21/04 \$45.90 10/20/04 \$28.45							
<b>12.b. Amount.</b>	\$74						

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name Adams Broadwell Joseph &amp; Cardozo

Trade Name, if any: Law Firm

P.O. Box, Bldg., Room No., if any

Street 601 Gateway Blvd Suite 1000

City South San Francisco

State California ZIP Code + 4 94080-7037

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

Provides Legal Services to Union

## 11.b. Approximate dollar value of such dealing.

\$54

## 12.a. Nature of interest held or income received.

Xmas Gift Smoked Salmon

## 12.b. Amount.

\$54

Name of Person Filing John Hammond	File Number U-
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**Part B Continuation Page**

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p><b>8. Name and address of Business (including trade name, if any).</b></p> <p>Name   Paul &amp; Henley</p> <p>Trade Name, if any:   Law Firm</p> <p>P.O. Box, Bldg., Room No., if any  </p> <p>Street   5716 Corsa Ave Suite 203</p> <p>City   West Lake</p> <p>State   California   ZIP Code + 4   91362</p>	<p><b>9. Business deals with:</b></p> <p><input checked="" type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>
<p><b>10. If 9.b. or 9.c. is checked give trust or employer's name.</b></p> <p>Name  </p> <p>Trade Name, if any:  </p> <p>P.O. Box, Bldg., Room No., if any  </p> <p>Street  </p> <p>City  </p> <p>State     ZIP Code + 4  </p>	<p><b>11.a. Nature of such dealing.</b></p> <p>Provides Legal Services to members for asbestos related claims</p> <p><b>11.b. Approximate dollar value of such dealing.</b></p> <p><b>12.a. Nature of interest held or income received.</b></p> <p>Xmas Gifts Gift Certificate \$200. Honey Baked Ham \$50.</p> <p><b>12.b. Amount.</b></p> <p align="right">\$250</p>